

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35.U.S.C. 371

EXPRESS MAIL LABEL No.
EK838852978US

DATE
21 DECEMBER 2000

ATTORNEY'S DOCKET NO.
A33828 PCT USA

U.S. APPLICATION NO.

09/720275

INTERNATIONAL APPLICATION NO.
PCT/EP99/04470

INTERNATIONAL FILING DATE
28 JUNE 1999

PRIORITY DATE CLAIMED
26 JUNE 1998

TITLE OF INVENTION

ELECTRODE ARRANGEMENT FOR GENERATING FUNCTIONAL FIELD BARRIERS IN MICROSYSTEMS

APPLICANT(S) FOR DO/EO/US

Günter Fuhr, Thomas Schnelle, Rolf Hagedorn and Torsten Müller

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

First Page of WO 00/00293

German Application with 14 sheets of drawings

English translation of specification & claims (38 specs, 7 claims, 1 abstract)

Amended Claims during Chapter II Procedure (4 pages)

PCT/IPEA/416

PCT/IPEA/409

PCT/RO/101

PCT/ISA/210

PCT/IPEA/401

PCT/IPEA/405

PCT/IPEA/408

Letter to European Patent Office dated 4/25/00 & 9/18/00

INTERNATIONAL APPLICATION NO. 02/720270
PCT/EP99/04470
INTERNATIONAL FILING DATE
28 JUNE 1999

PRIORITY DATE CLAIMED
26 JUNE 1998

17. [X] The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR 1.482)
Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,000.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5)) \$860.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (1.492(a)(2)) \$710.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$690.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

Claims	Number Filed	Number Extra	Rate	\$
Total Claims	19	-20=-	X \$ 18.00	\$
Independent Claims	1	-3=-	X \$ 80.00	\$
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS = \$860.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = \$860.00

Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$860.00

Amt. refunded \$
charged \$

- a. [X] A check in the amount of \$ 860.00 to cover the above fees is enclosed.
b. [] Please charge our Deposit Account No. 02-4377 in amount of \$ to cover the above fees. A copy of this sheet is enclosed.
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4377. A copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

BAKER BOTTS L.L.P.
30 Rockefeller Plaza
New York, New York 10112-4498

Signature RONALD B. HILDRETH

December 21, 2000

Date

19,498

Registration No.